

The Potential For Harnessing the Immune System to Control HIV

Gordon Dickinson, MD

Chief, Infectious Diseases, U Miami
School of Medicine, and Co-Director,
Special Immunology, Miami VA
Medical Center

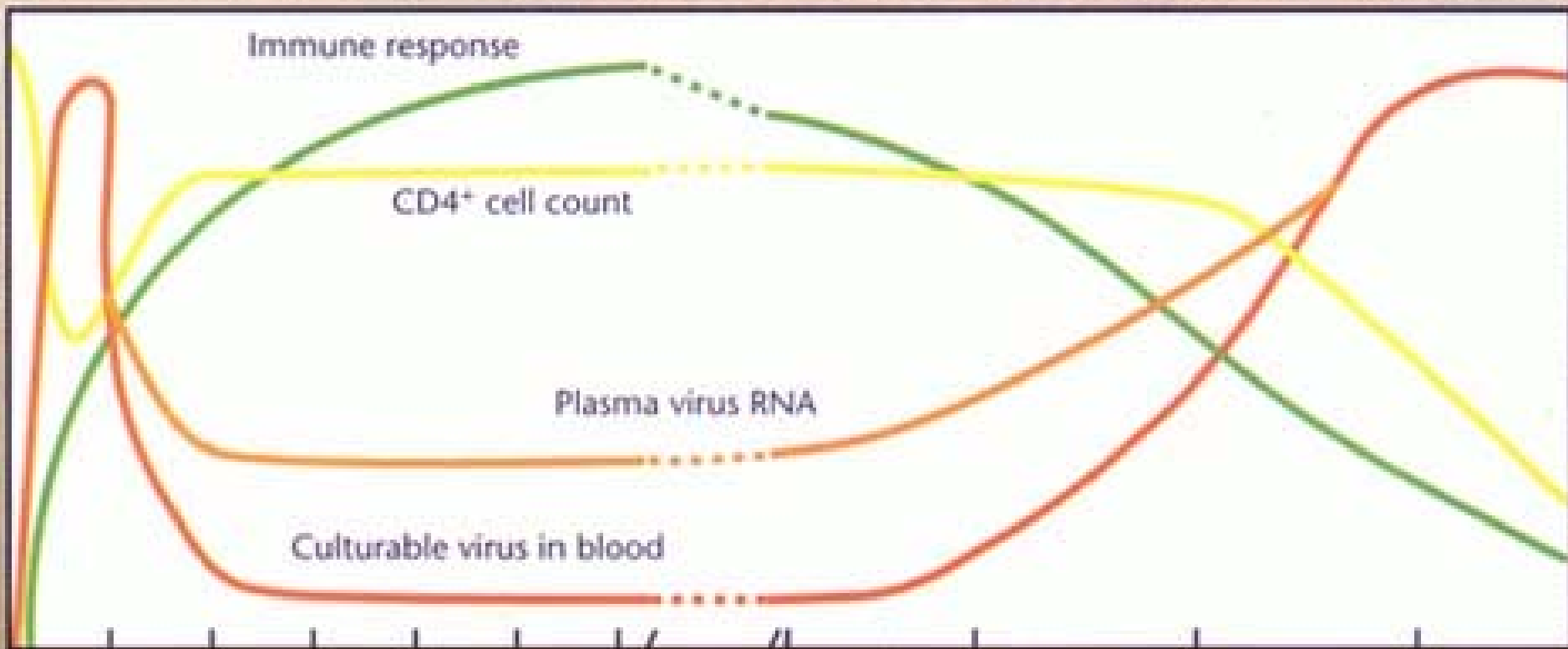
The Challenge of Antiretroviral Therapy -2006

- **Adherence, or lack thereof**
- **Resistance**
- **Cost**
- **Drug interaction**
- **Toxicity**
- **Failure to restore immune function – treatment failure**

Objectives

- **Review new paradigm of the pathogenesis of HIV disease.**
- **Review selected attempts to intervene with “immuno-modulators”.**
- **Vaccine development – is it all doom and gloom?**

Traditional View of HIV Infection



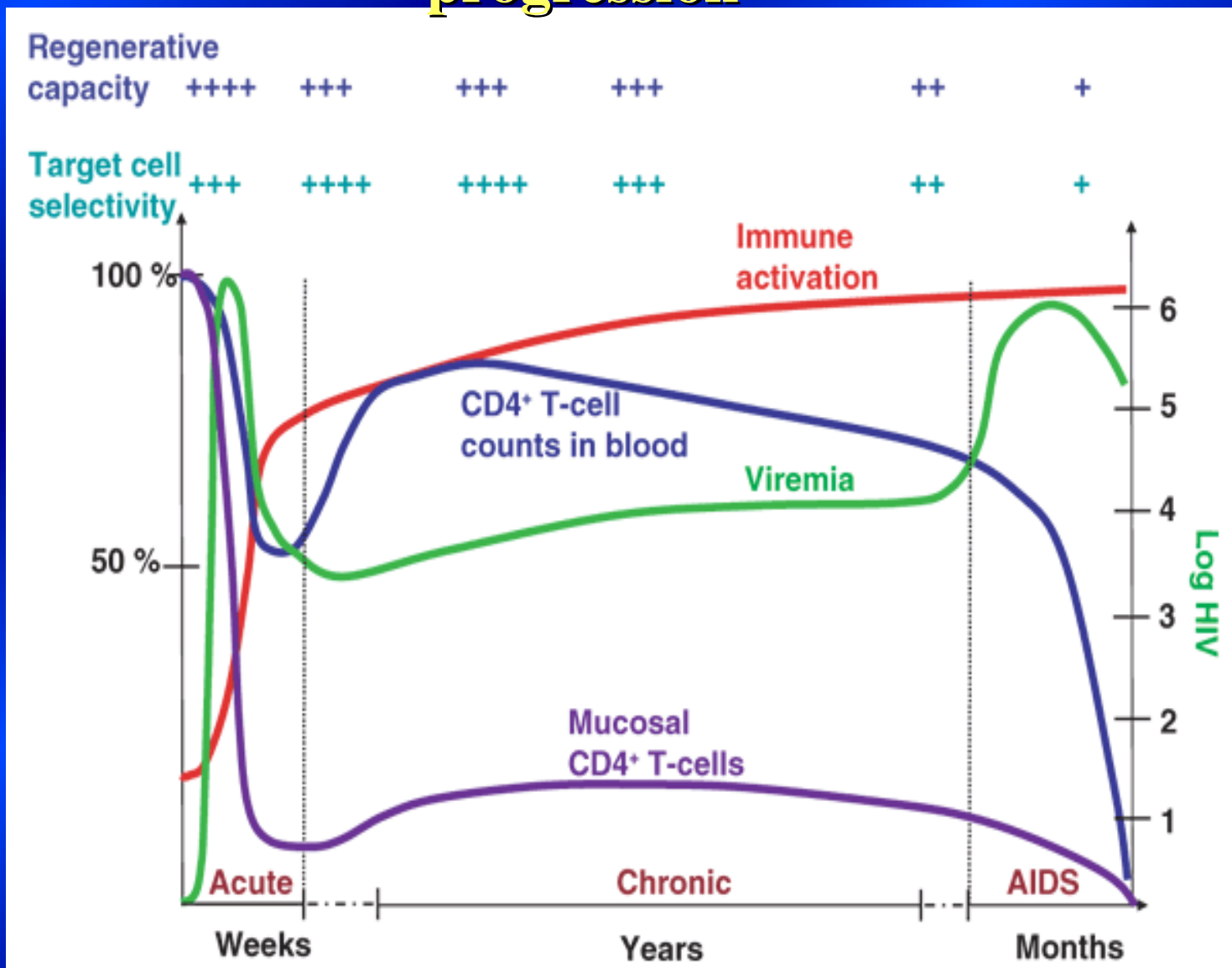
Months

Years

Symptoms

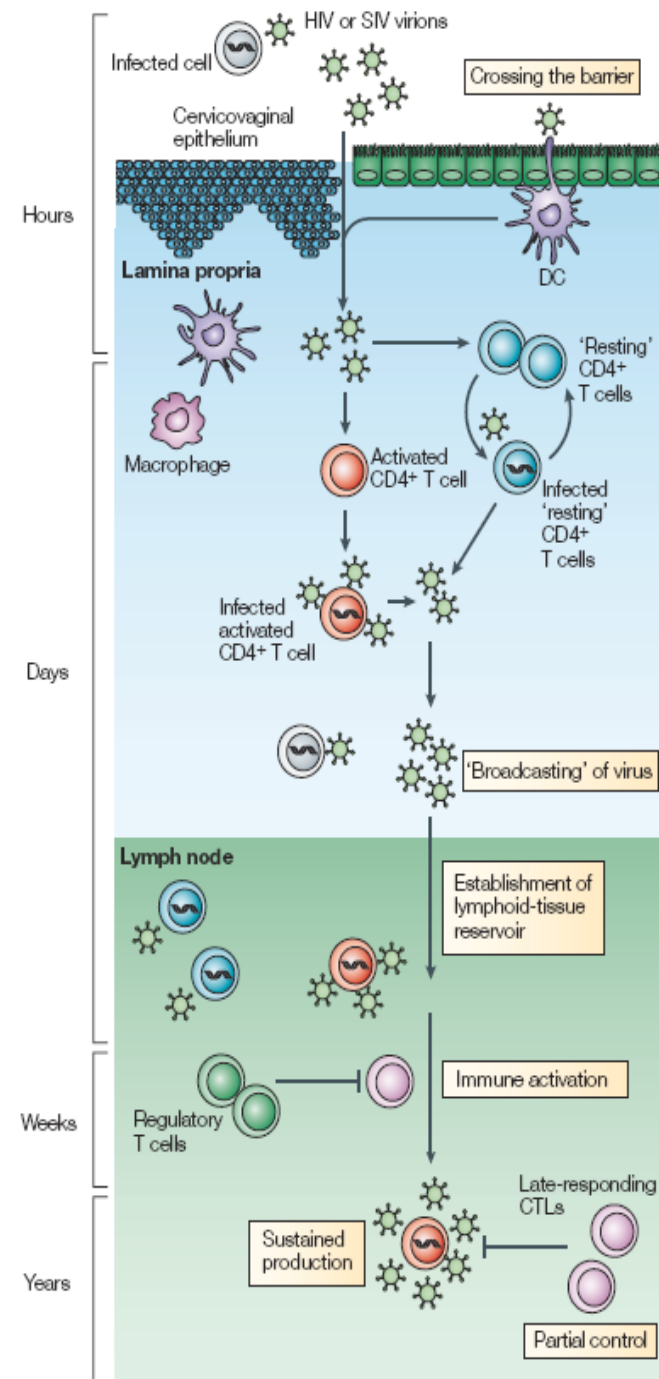
Symptoms

Quantitative and qualitative measures of HIV disease progression



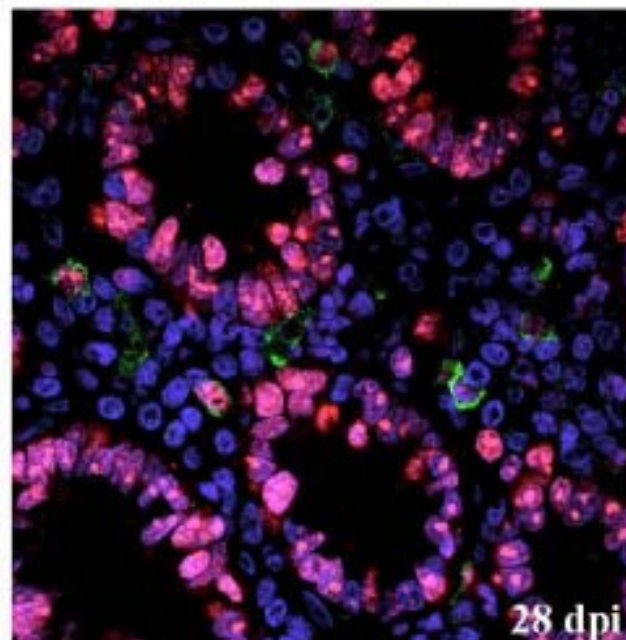
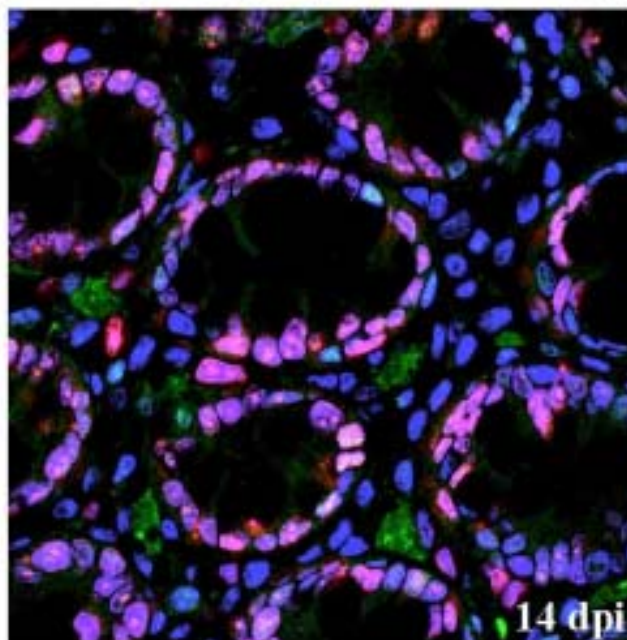
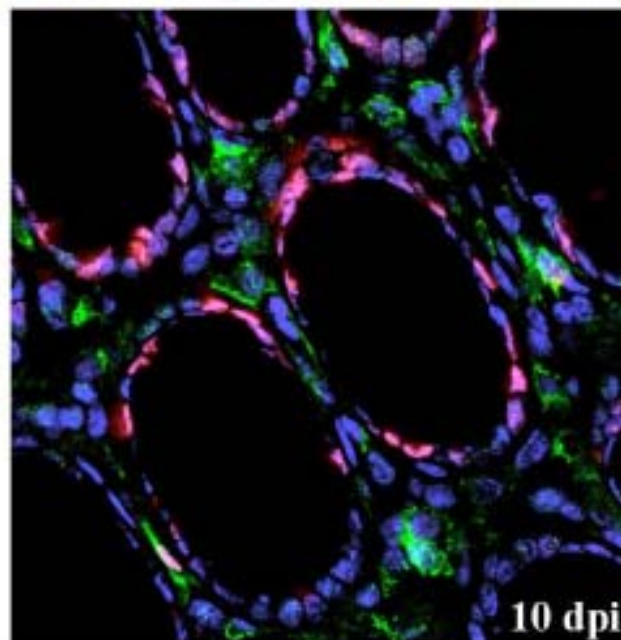
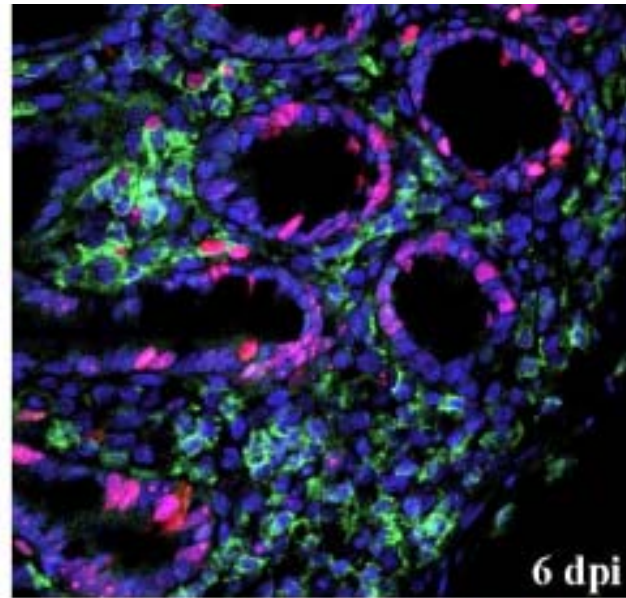
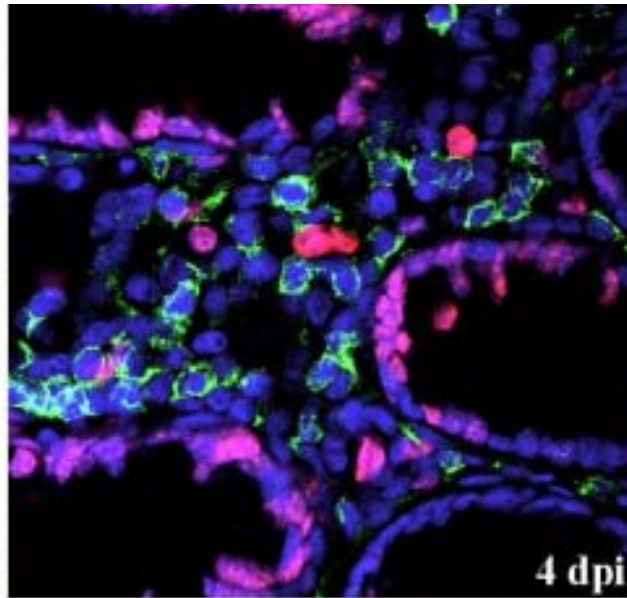
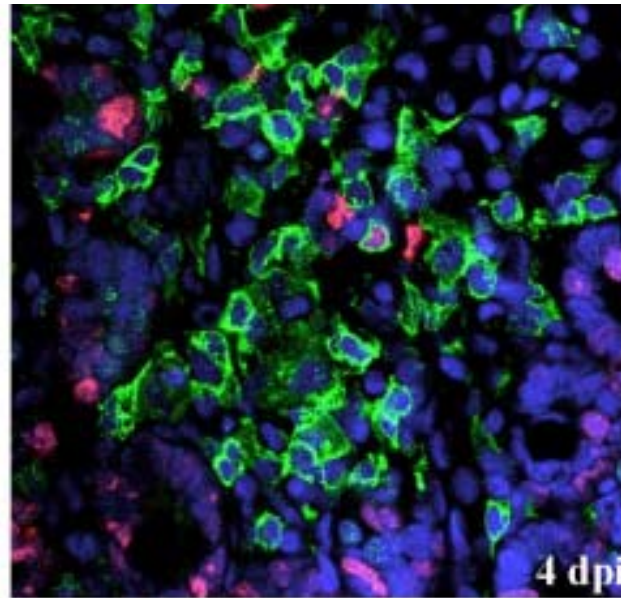
Initial events in Acute HIV Infection

- Infection established at point of entry predominantly in CCR5+ CD4 memory T cells
- Spreads to regional lymph nodes via DC and to the mucosal associated lymphoid tissue (MALT)
- Gastrointestinal tract is the most prominent early site of virus replication (1-3 weeks post infection)
- Widespread depletion of memory CD4 T cells that is only partially restored with later HAART



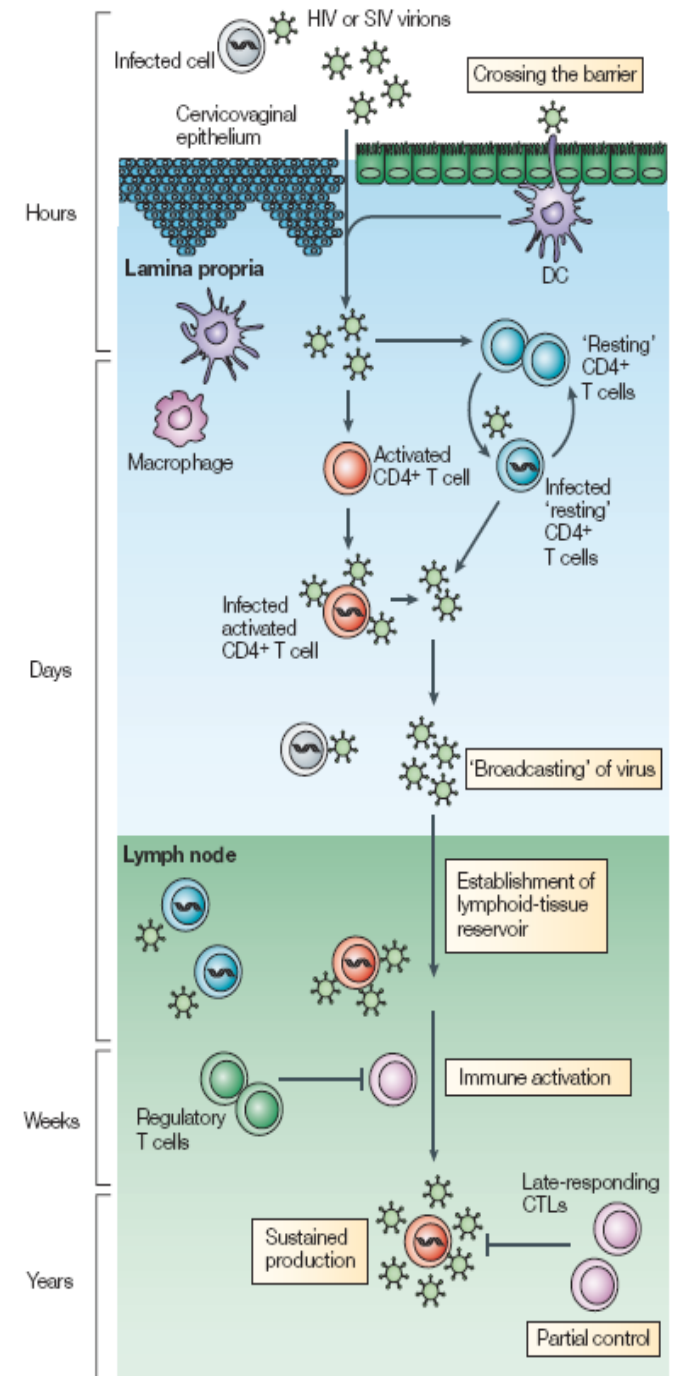
Peak Replication and Massive CD4 + T Cell Depletion in Gut Lamina Propria

(slide from A Haase)



Inability of the host to clear the infection: Why??

- Massive replication of virus in GALT and depletion of memory CD4+ T cells
- Antigen specific CD8 T cell immune response is “too little, too late”
- Premature induction of immunosuppressive regulatory T cells
- Establishment of a latent reservoir of HIV in resting memory CD4 T cells (integrated virus not visible to the immune system)- minimally affected by HAART



Summary: Initial events in Acute HIV Infection

- **Infection established at point of entry predominantly in CCR5+ CD4 memory T cells**
- **Spreads to regional lymph nodes via DC and to the mucosal associated lymphoid tissue (MALT)**
- **Gastrointestinal tract is the most prominent early site of virus replication (1-3 weeks post infection)**
- **Widespread depletion of memory CD4 T cells that is only partially restored with later HAART**
- **Establishment of a latent reservoir of HIV in resting memory CD4 T cells (integrated virus not visible to the immune system)- minimally affected by HAART**

Different course of SIV in two primate models



Sooty mangabey
(*Cercocebus atys*)

Location: Subsaharan
western Africa Sierra
Leone to Ghana

Slow or non-progression



Rhesus macaque
Macaca Mulatta

Location: Northern
India and southern
China

Rapid Progression

Approaches for More Effective Virologic Control and Immune Reconstitution in Chronic HIV Disease

Host: Immune Strategies to Attack HIV

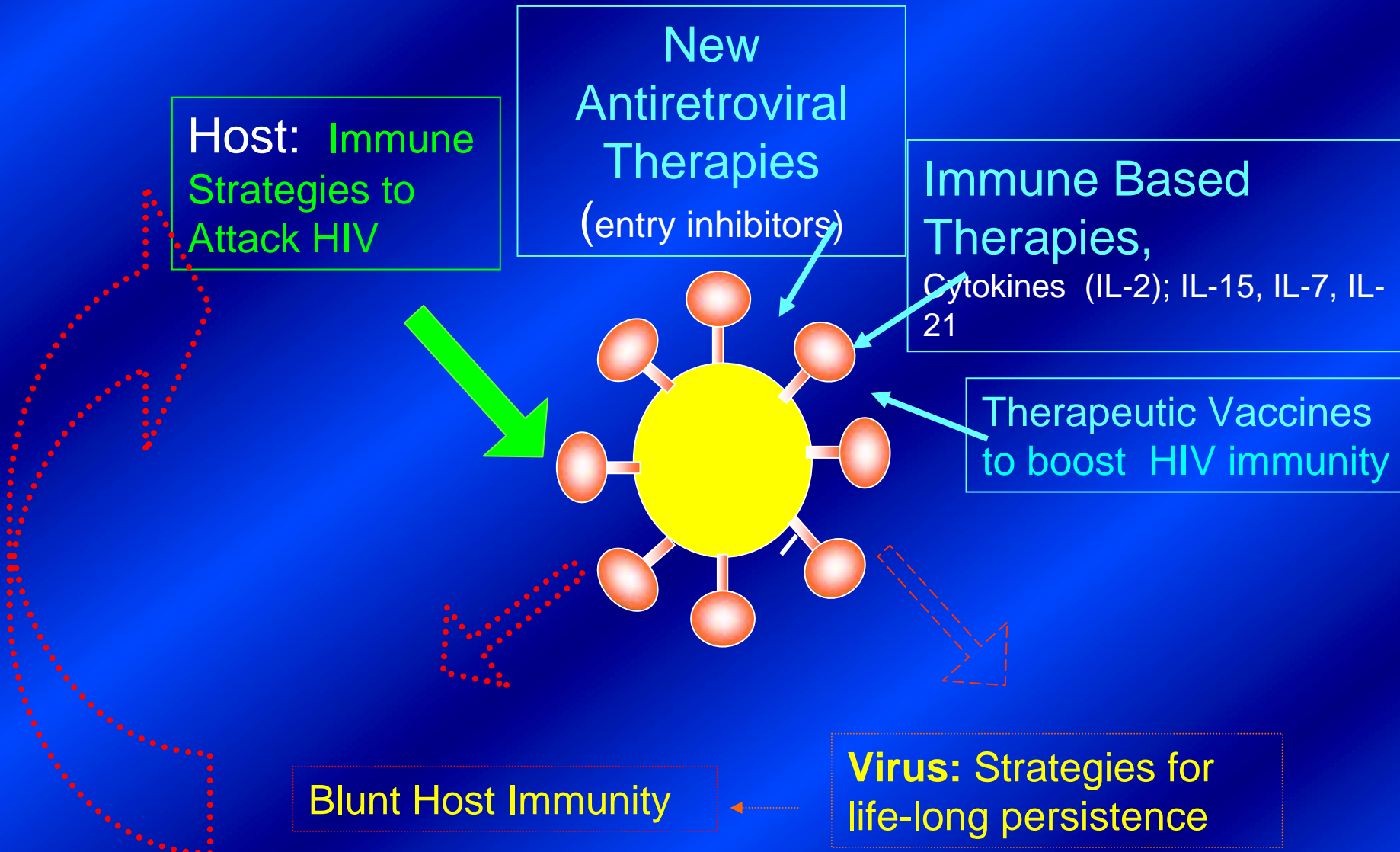
New Antiretroviral Therapies (entry inhibitors)

Immune Based Therapies, Cytokines (IL-2); IL-15, IL-7, IL-21

Therapeutic Vaccines to boost HIV immunity

Blunt Host Immunity

Virus: Strategies for life-long persistence



The Immune System vs. HIV

- **Monoclonal antibodies**
- **Cytokines**
- **Extracorporeal expansion**
- **Vaccination – Passive**
- **Vaccination – Therapeutic**
- **Vaccination - Preventive**

Approaches to Immunotherapy of HIV infection

- **Down regulation – to stop the CD4 activation that propagates supports HIV replication.**
 - **TNX 355 - CD4 receptor blockade in HIV**
- **Up regulation**
 - **Use of cytokines to shift cytokine balance**
 - **Use of cytokines to assist immune reconstitution**
 - **Use of cytokines to help control chronic infections**

GM-CSF

- **Placebo control trial suggests viral load reduction and CD4 increases in treated group.**
- **Promotes cytokine production from neutrophils.**
- **Decreases immune activation, and inflammation.**
- **Induces IL-2 production.**

Role of Gamma Chain Cytokines

In peripheral lymphocyte expansion

In influencing innate immunity

In augmenting immune responses

(IL-2, IL-7, IL-15, IL-21)

Interleukin 2

- **Induces proliferation of CD4 and CD8+ cells.**
- **Increases activity of NK cells, Lymphokine activated killer cells (LAK).**
- **Causes expansion of existing CD4 clones.**
- **Indirectly induces IL-7, which in turn increased naïve cell production.**

Interleukin 2

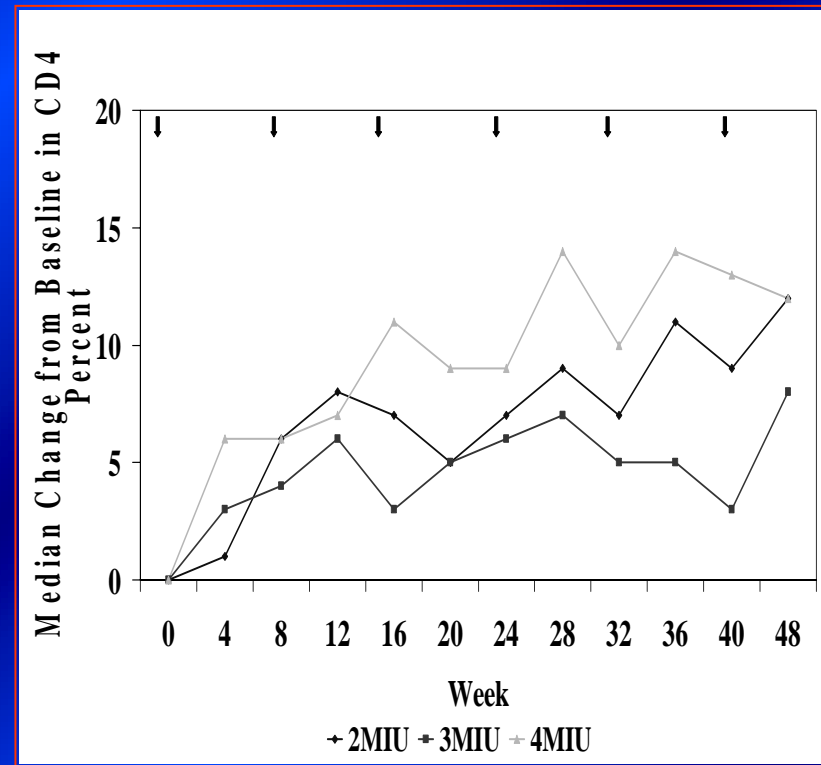
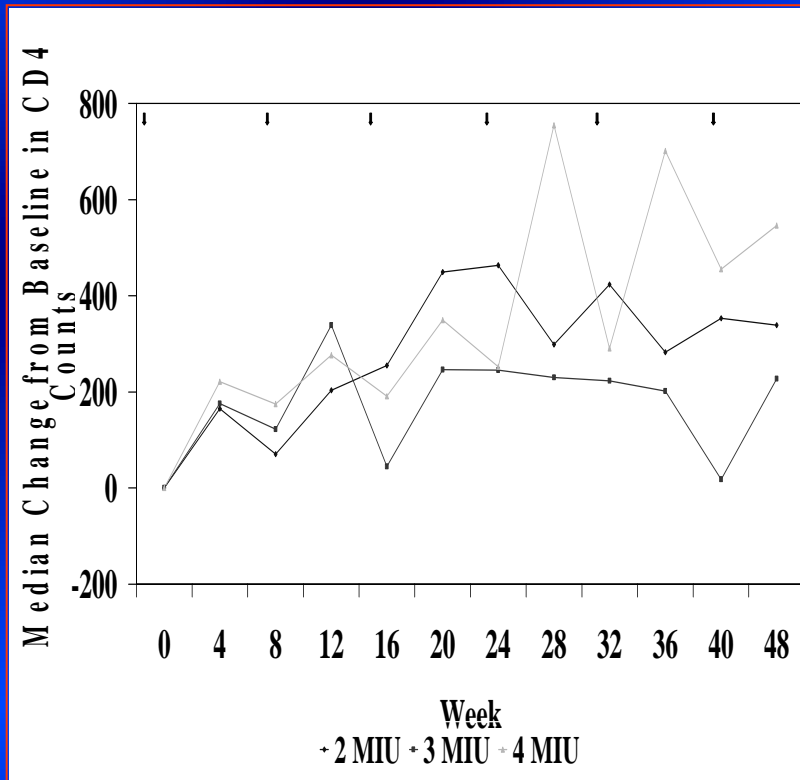
- **Dramatic CD4 cell count increases without viral load increases in patients on antiretroviral therapy (CD4 counts $<50 \rightarrow 350$ cells/ μ L)**
- **Subcutaneous injections and continuous infusions used**
- **Dosages and frequency of administration vary**
- **Side effects include flulike symptoms, nausea, diarrhea, fever, and liver toxicity**

PACTG 402: s/c IL-2 in children with low CD4

Median Change from Baseline for CD4

In absolute counts

in percentage



4miu
2miu
3miu

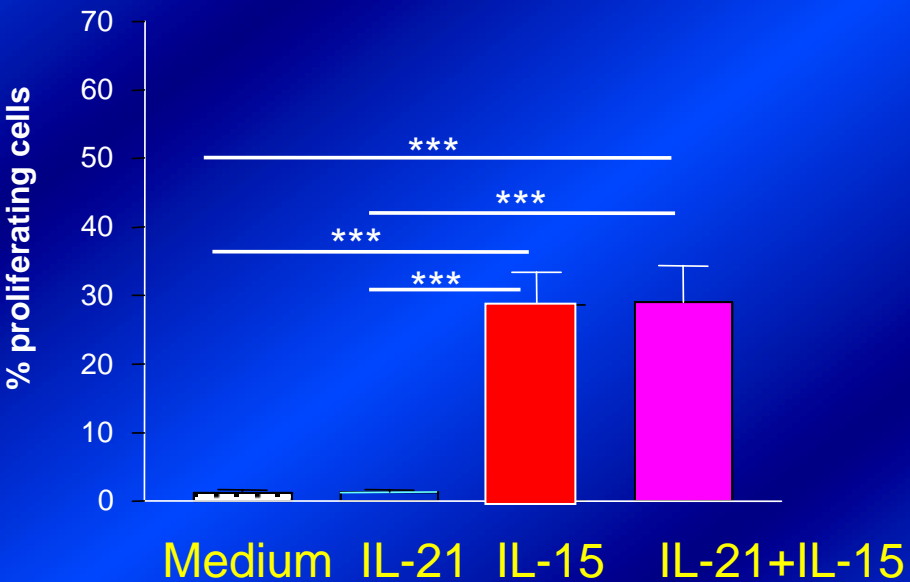
Problems with IL-2: Induction of T Regs

Interleukin 12

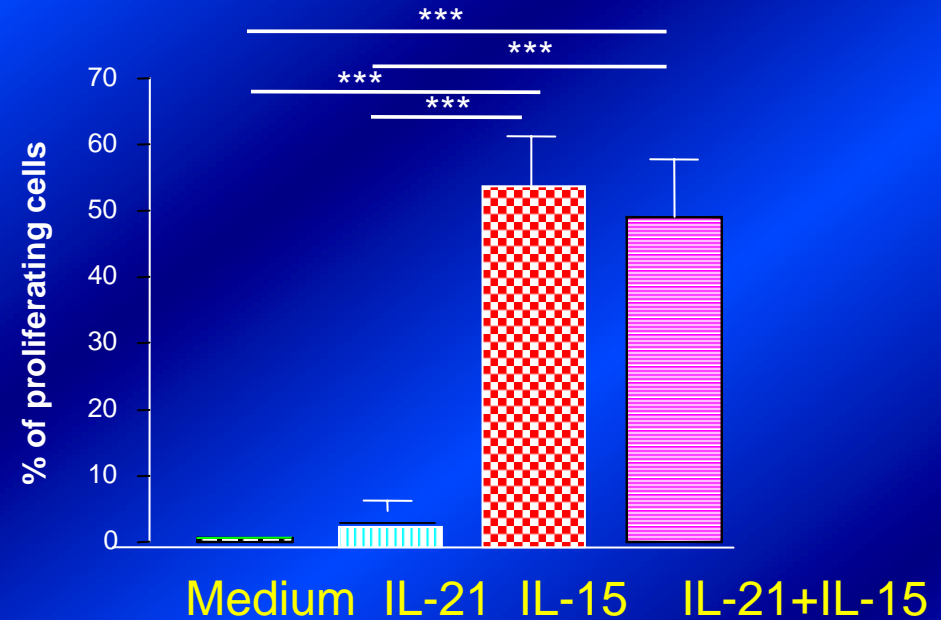
- Greatly enhances cytotoxic T cells, NK cell function;
- Shifts cytokine pathways back to Th1
- Phase 1 trials underway - toxicity is a concern
- Toxicity may limit to ex vivo cell therapy setting

IL-15 induces CD8 T cell proliferation in healthy controls and HIV-infected individuals; IL-21 has no effect

Healthy Controls



HIV-Infected



IL-21 in HIV infection

- The predominant effect of IL-21 is to upregulate perforin in CD8 T cells and NK cells – not known why.
- Rapid onset, within 5 hours, and is long lasting (5 days).
- Unlike IL-15, IL-21 does not increase T cell activation or proliferation.
- The effect of IL-21 is more pronounced in HIV infected individuals than in observed in healthy controls.
- Cellular activation by IL-21 involves Stat 3 predominantly and Stat 5 in HIV+ patients.

Ex Vivo Cell Modification

- Cell expansion of polyclonal vs. oligoclonal vs. monoclonal populations.
- Polyclonal - Studies by Klimas and Heberman using peripheral blood CTL's.
- Oligoclonal - Studies by Leiberman using CTL's stimulated with HIV peptides ex vivo.
- Monoclonal - Studies by Koenig using monoclonal CTL cell line, demonstrating in vivo resistance at 16 weeks.

Ex Vivo Cell Modification

- Lymph node vs. PBMC's
- Ohio State experience (Triozi, Bressler, et al) using the principle that lymphnode cells have an advantage over PBMC's - antigen presentation, cytokines more antiviral, begin with lymph node cells. Ongoing trial in HIV positive viremic subjects.
- University of Miami - trial in HIV coinfectd with Hepatitis B and/or C completed

Goals of Vaccination for HIV Infection

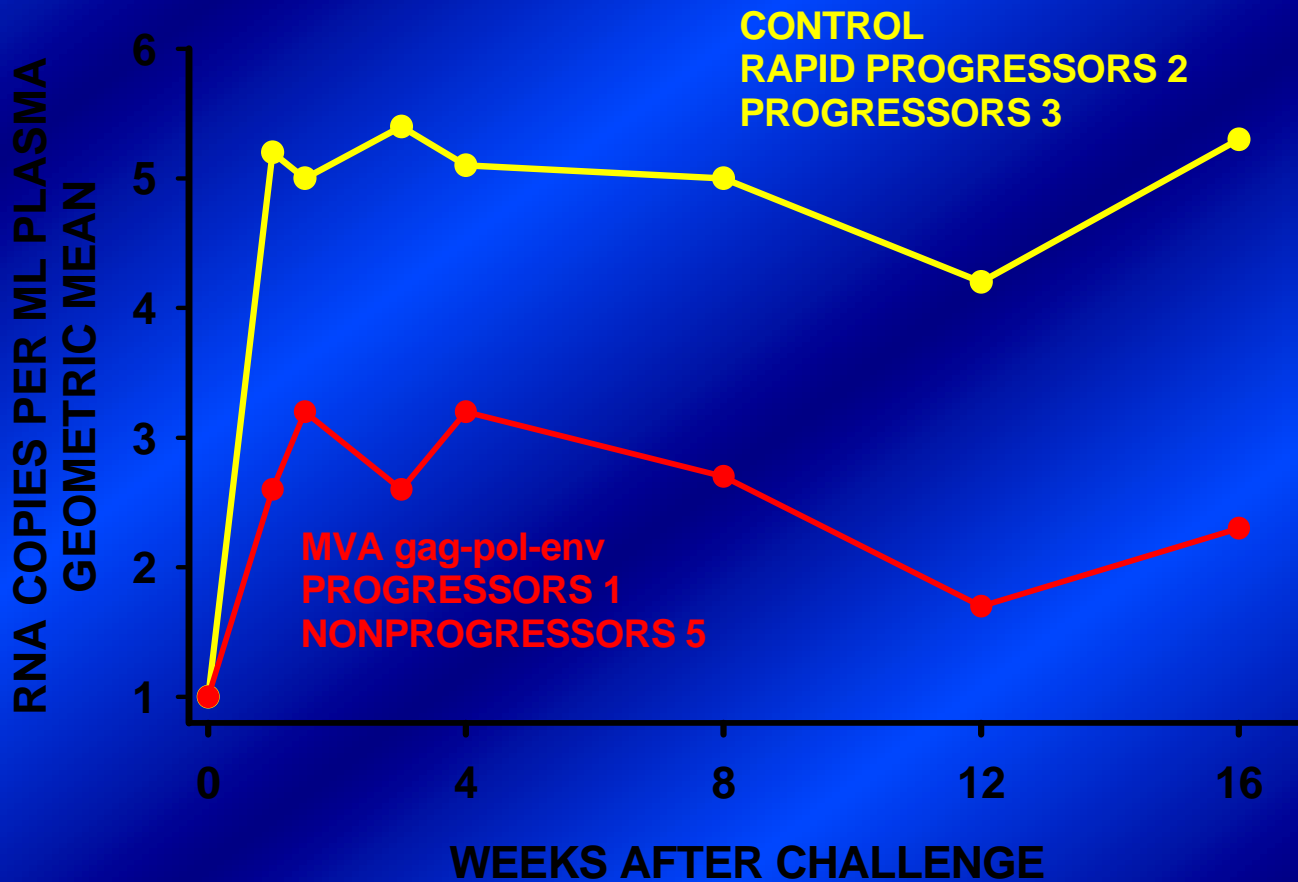
- **Prevention**
 - To prevent infection
 - To prevent disease
 - To prevent transmission
- **Therapeutic**
 - To prevent progressive disease....something natural infection [the ultimate vaccination] does not do.

Major Obstacles to Development of an HIV Vaccine - Biologic

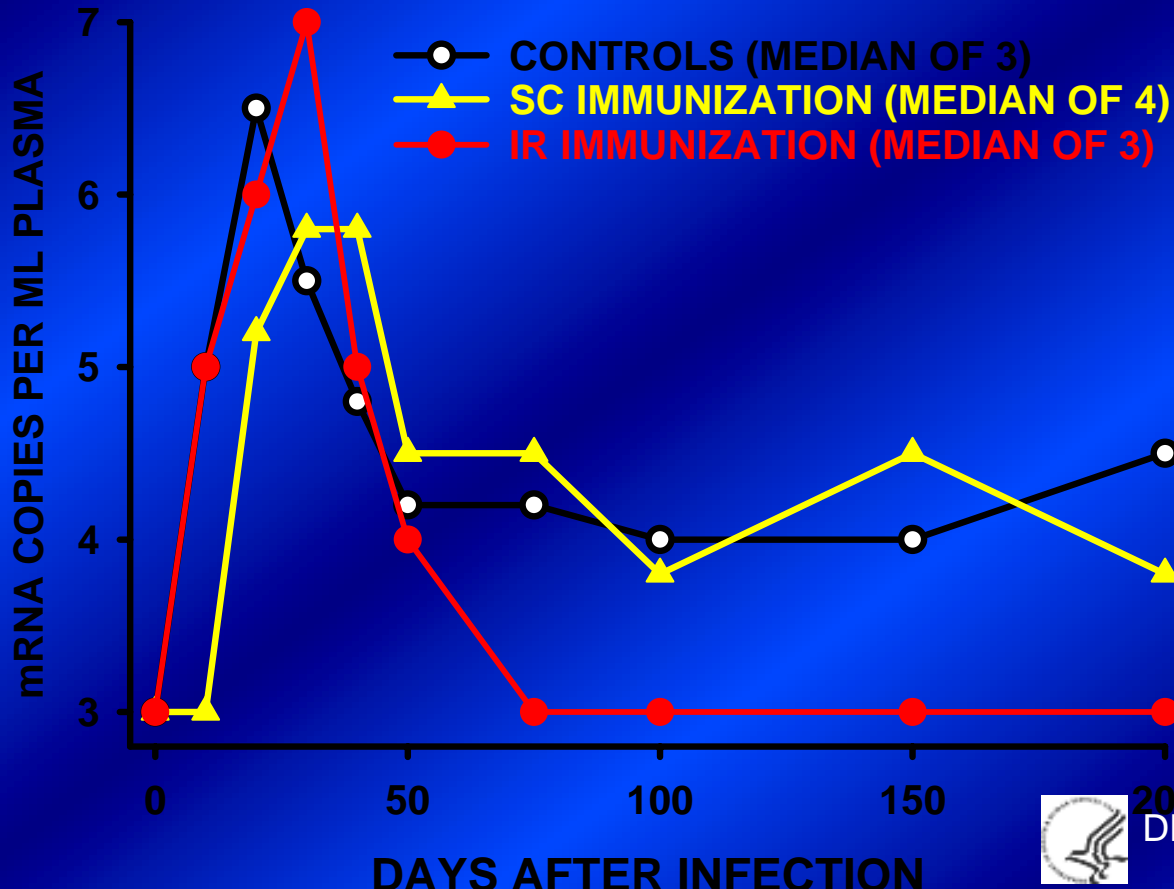
- **Extra-ordinary ability of the virus to elude host humoral and cellular immune responses.**
 - Genetic diversity
 - Evasive properties of envelope proteins
- **We do not know the correlates of immunity against this virus.**
 - Discordance between measurable immune response and actual effect.

**PROTECTION OF RHESUS MACAQUES
AGAINST SIV SM E660 IV CHALLENGE
BY RECOMBINANT VACCINIA (MVA) IMMUNIZATION**

Ourmanov, J Virology, 2000, 74: 2740



MACAQUES IMMUNIZED SC OR IR WITH GAG/POL EPI TOPE PEPTIDES PLUS ADJUVANT CHALLENGE: IR PATHOGENIC
SHIV KU-2 10 WEEKS POST IMMUNIZATION
Belyakov et al, Nature Medicine 2001, 7: 1320



PHASE I

Polyepitope CTL DNA (B)
(Epimmune-NIH-HVTN)

MRK-Ad5 gag + ALVAC g/pr/e
B (Merck-Aventis)

DNA + FPV multigene B
(Australia-NIH)

VEEV-gag-C-2 Replicons
(Alphavax-NIH-HVTN)

NYVAC-HIV C (EUROVACC)

AAV-gag C (CCRI-Targeted
Genetics-IAVI- Europe, India)

DNA multigene C (ADARC-
IAVI)

DNA gpe/beads B +/- oligo
rgp140-V2 (Chiron-NIH-HVTN)

MVA and FP eg+rtn (Therion-
HVTN-NIH)

Lipopetides* or ALVAC +
Lipopeptides* (ANRS)

PHASE I

Proteins rgp120 + tat/nef
Fusion +Adj (GSK-NIH)

DNA gag*-A (MRC-IAVI-
KAVI); MVA gag*-A (MRC-
IAVI-KAVI); DNA + MVA-gag
(MRC-IAVI-KAVI)

DNA gag or Adeno gag-B;
DNA + Adeno gag B (Merck)

MVA nef (FIT BIOTECH)

DNA gag/pol-B (NIH-VRC)

Multi-env Vaccinia (St Jude)

MRK-Adeno 5 gag (Merck-
HVTN-NIH)

DNA multigene B (Emory-
GeoVax-CDC-NIH-HVTN)

DNA multigene/3 clade env
(NIH-VRC) and

Ad5 multigene + 3 clade env
(NIH-VRC)

DNA tat (ISS)

PHASE II

ALVAC g/pr/e* B +
rgp120 (Aventis-NIH)

DNA gag* A + MVA
gag* A (MRC-IAVI-
KAVI-UVRI)

DNA gpn, ABCenv +
Ad5gp, ABCenv
(VRC-HVTN-NIH)

Merck-Ad5, g,p,n B
Merck-HVTN-NIH

PHASE III

ALVAC g/pr/e* E +
rgp120 (Thailand-
DOD-NIH-Aventis-
VaxGen)



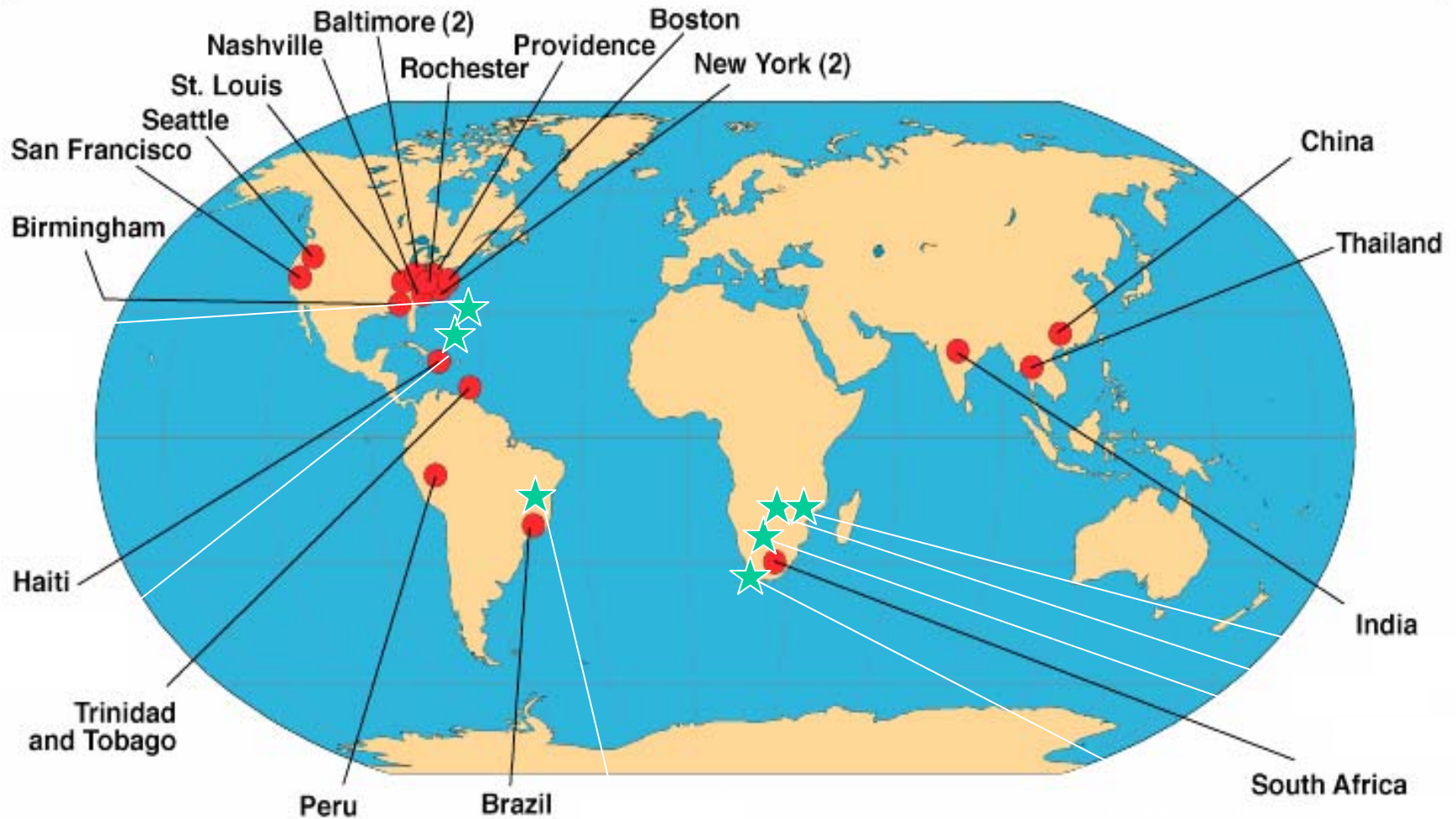
Candidate AIDS Vaccines in Advanced (Phase II or III) Clinical Trials, Douek et al, Cell, Feb 2006

Vector	Insert (Clade)	Immune Profile	Manufacturer or Sponsor
Canary poxvirus +/- protein	Env (E) Gag/Pol(B), Env (B/E)	Cellular +/- humoral	Aventis/Vaxgen
rAd	Gag (B), Pol (B), Nef (B)	Cellular	Merck
DNA/rAd	Gag (B), Pol (B), Nef (B), Env (A,B,C)	Cellular +/- humoral	VRC, NIAID, NIH
AAV	Gag, PR, RT (C)	Cellular	IAVI
Lipopeptides	Gag, POL & Nef (B)	Cellular	ANRS

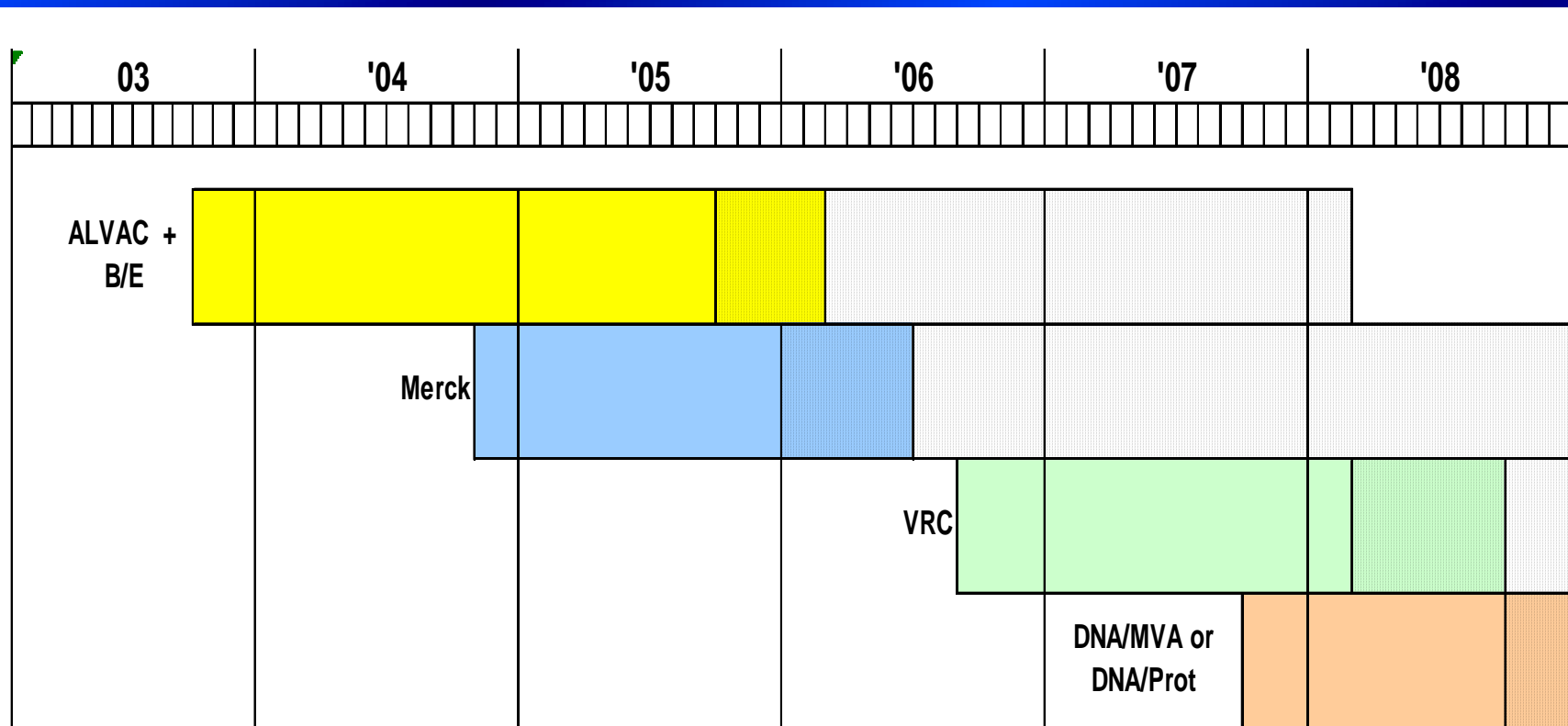
rAd - replication-defective adenovirus; AAV – adeno-associated virus; Env – envelope protein; Gag, group specific antigen; Nef, negative regulatory factor; Pol, polymerase, PR, protease, RT reverse transcriptase



NIAID HIV Vaccine Trials Network (HVTN) Domestic and International Sites



Efficacy Trials – estimated timelines



Live Vaccination – vaccine protection against pathogenic SIV in Indian rhesus macaques, adapted from Koff et al, Nature Immunology Jan 2006

Vaccine	Monkeys protected
Live attenuated: Δ nef	59 of 63
Live attenuated: Δ 3	12 of 12
Live attenuated: Δ 5G	3 of 3
Live attenuated summary	74 of 78 (95%)
All other vaccine strategies	18 of 256 (7%)

WHAT TRANSLATES FROM ANIMAL MODELS TO HUMAN TRIALS

- Poxvirus vectors induce limited IFN gamma responses, but that does not correlate with protection in macaques, so we do not know if they will protect in humans.
- Responses to DNA vaccines for HIV env are better than to gag. DNA vaccines are much more immunogenic in mice >> than monkeys >> humans.
- Regulatory protein immunity appears to be important in acute infections and in some NHP vaccine studies, but phase I human trials are limited.

THE BIOLOGICAL BASIS OF AN AIDS VACCINE -Why so difficult to make?

BIOLOGICAL OBSTACLES

- **Persistence of HIV and progression to AIDS**
- **Mechanisms of vaccine protection poorly understood**

RESEARCH EXPERIENCE

- **HIV envelope gp120 protein fails to induce broad neutralizing antibodies and fails to protect MSM**
- **Live attenuated SIVs protect but cause AIDS in NHP**

IMPLICATION

- **Immunobiological questions still unanswered**

Challenges for Vaccine Efficacy Trials

- **Identifying populations of individuals at risk of HIV infection:**
 - **In most behavioral risk categories youth are at highest risk of infection**
- **Enrolling individuals at risk of infection**
 - **Without risk of social harm,**
 - **While maintaining primary prevention programs**
 - **With highest ethical standards, and**
 - **With extensive consultation and education in the communities.**

Summary

- **Greatest immunologic damage occurs within weeks of infection.**
- **Immunotherapy for established infection may yet yield results.**
- **HIV vaccine: coming but not yet here.**
- **Continued progress in our understanding of the pathogenesis of HIV infection, and of our immune response.**

Selected References

- Douek D, Kwong PD, Nabel GJ. The rational design of an AIDS vaccine. *Cell* 2006;124(feb):677-681.
- Haase AT. Perils at mucosal front lines for HIV and SIV and their hosts. *Nature Reviews-Immunology*. 2005 (Oct);5:783-792.
- Koff WC, Johnson PR, Watkins DI, et al. HIV vaccine design: insights from live attenuated SIV vaccines. *Nature Immunology*. 2006 (Jan);7:19-23.
- Mattapallil JJ, Douek DC, Hill B, Nishimura Y, Martin M, Roederer M. Massive infection and loss of memory CD4+ T cells in multiple tissues acute SIV infection. *Nature*. 2005 (April);434:1093-1097.